



2019-20 PROGRAM GUIDELINES

# PENNSYLVANIA HIGHER EDUCATION EQUAL OPPORTUNITY ACT OF 1971 (ACT 101)

# MEMO



To: Act 101 Directors

From: Elizabeth K. McCloud

Vice President, State Grant and Special Programs

A handwritten signature in black ink that reads "Elizabeth K. McCloud".

Date: October 2019

Re: 2019-20 Act 101 Program Guidelines

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The Pennsylvania Higher Education Assistance Agency (PHEAA) is pleased to announce that the 2019-20 Act 101 Program Guidelines are now available on [PHEAA.org/Act101](https://pheaa.org/Act101). Please be aware of the following changes and clarification that have been added to the guidelines:

## CHANGES FOR 2019-20

**2017 Federal Poverty Guidelines for 2019-20 Eligibility:** The 2017 Federal Poverty Guidelines are to be used to determine 2019-20 economically disadvantaged students. Due to the implementation of Prior-Prior Year (PPY), The 2019-20 Free Application for Federal Student Aid (FAFSA®) is using 2017 income and therefore the 2019-20 Act 101 Program should use the 2017 Federal Poverty Guidelines.

If you have any questions or concerns, please contact Act 101 staff via email at [act101@pheaa.org](mailto:act101@pheaa.org) or via telephone at 800-443-0646, Option 3, Option 2, a private number reserved for the use of postsecondary schools.

EKM/jim

EKM2018-19.Program Materials.Act101

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**STATE GRANT AND SPECIAL PROGRAMS**

1200 North Seventh Street, Harrisburg, PA 17102-1444

Phone: 800-443-0646 • Fax: 717-720-3786

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## I. INTRODUCTION

### A. BACKGROUND

More than forty years ago, the Honorable K. Leroy Irvis, then majority leader of the Pennsylvania House of Representatives, recognized that there were thousands of Pennsylvania residents who were denied the opportunity to pursue higher education due to prevailing social conditions. With support from the Pennsylvania Black Conference on Higher Education, Mr. Irvis created the Pennsylvania Higher Education Equal Opportunity Act of 1971 (“Act 101”) to address this issue.

The Pennsylvania Department of Education administered the Act 101 Program from inception through 2010-11. In June 2011, Act 101 Program administration was transferred to the Pennsylvania Higher Education Assistance Agency (PHEAA). Therefore, references to the Department or Secretary in the regulation citations now apply to PHEAA.

### B. PURPOSE OF THE ACT 101 PROGRAM GUIDELINES

The regulations require that PHEAA issue Program Guidelines for the Act 101 Program consistent with 22 Pa. Code §§ 44.1 et seq. The statute and the regulations authorizing the Act 101 Program are located on PHEAA’s website at [PHEAA.org/Act101](http://PHEAA.org/Act101). Please refer to these documents for any general questions about the requirements set forth in these Program Guidelines. Specific questions should be directed to:

Pennsylvania Higher Education Assistance Agency  
State Grant and Special Programs  
1200 North Seventh Street  
Harrisburg, PA 17102

Phone: 800-443-0646, Option 3, Option 2  
TDY: 717-720-2366  
Fax: 717-720-3786  
Email: [Act101@pheaa.org](mailto:Act101@pheaa.org)

The 2019-20 Program Guidelines are designed to provide guidance for this program year. PHEAA will publish updates to this document if additional changes become necessary. Any deviation from these guidelines could result in the loss of an institution’s approval to participate in the program as notified in writing by PHEAA.

## II. INSTITUTIONAL PARTICIPATION

### A. INSTITUTIONAL ELIGIBILITY

To be eligible to participate in Pennsylvania’s Act 101 Program, an institution must:

- Be approved for participation in the PA State Grant Program
- Be approved for Act 101 participation by PHEAA
- Be authorized to grant degrees
- Be located in the Commonwealth of Pennsylvania

If a branch campus of a participating institution operates a program separate from the main campus program, such a branch campus program will be separate for program disbursement and reporting purposes. The only exception to the separate program rule for branch campuses is that the program director may be the same individual for both campuses. If two or more campuses share the same program director, the director shall be responsible for carrying out his/her duties to the same extent as if each campus had its own program director.

Renewal participants (institutions which participated during 2018-19) must submit a renewal application by June 30, 2019. The application consists of:

- A description of the institution's Act 101 Program including its length (9 or 12 months), number of students served, and a list of the primary service elements of the program. This should also address any changes to the program from the prior year.
- A projected budget for the institution's 2019-20 Act 101 Program is due after the institution receives its tentative allocation letter. Refer to the Application Budget Template, available on PHEAA's website at [PHEAA.org/Act101](http://PHEAA.org/Act101).

New institutions interested in participating must submit the following:

- Application form including a program summary (due June 30, 2019)
- Act 101 Participation Agreement (after the application is accepted)
- A projected budget for the institution's 2019-20 Act 101 Program is due after the institution receives its tentative award letter
- An Automated Clearing House (ACH) form for electronic fund transfer

New institutions must currently be operating a support program that serves at least 40 students who would qualify under Act 101 guidelines for one year in order to participate.

## **B. INSTITUTIONAL COMMITMENT TO THE STUDENT**

A participating four-year institution shall plan a two-year financial and academic commitment to all students entering the program. A participating two-year institution shall plan a one-year financial and academic commitment to all students entering the program.

This commitment is subject to continued funding by the General Assembly.

### **i. ACADEMIC COMMITMENT TO STUDENTS**

Institutions are expected to provide the level and quality of academic services needed by a student to achieve the desired outcomes. Academic commitment may either be unique to the Act 101 student or services provided in addition to those provided to the general student population. Examples of academic commitment include the Student/Institution Agreement, policies, services, material or equipment use, and special programs. This commitment will be reflected in the Student/Institution Agreement which must be available for review in the student's Act 101 file.

### **ii. FINANCIAL COMMITMENT TO THE STUDENTS**

Institutions are expected to assist students in obtaining grants and scholarships from federal, state, and other external financial aid sources and to award institutional grants to reduce or eliminate unmet need whenever possible. Each participating student must file a Free Application for Federal Student Aid (FAFSA®) for each year of participation in the Act 101 Program.

At a minimum, the institution is responsible for ensuring that the full scope of financial commitment from all sources is:

- Clearly and unambiguously communicated to the student
- Included in the Student/Institution Agreement
- Available for review in the student's Act 101 file

Information within the school's Annual Report will be required to show, in aggregate and average data, the following for all Act 101 participants:

- Total gift aid including all scholarships, grants, and waivers
- Total student employment awards

- Total loans including those to the student and the parent(s)

### iii. STUDENT & INSTITUTION AGREEMENT

- Institutions must execute a written Student/Institution Agreement (“Student Agreement”) with each student that clearly delineates both the academic and the financial commitment that is being made to the student.
- The Student Agreement must be agreed upon annually by both the student and the institution and be available for review in the student’s Act 101 file.
- The institution’s commitment for the required minimum period (one year or two years) must be reflected in the Student Agreement.
- With each student, the institution will develop a plan that includes the individual student’s educational goals and objectives and planned use of Act 101 services. In addition, the institution will meet with individual students each academic period to review their academic needs and ensure that the appropriate Act 101 services will be provided to meet those needs during that academic period.
- The Student Agreement will be used to outline the level of counseling and tutoring services planned for each student on a term-by-term basis (and will be reported on the Annual Report). This Student Agreement should also request a statement of the student’s post-graduation plans.
- The format of the Student Agreement is up to the individual institution.

## C. FINANCIAL COMMITMENT TO THE ACT 101 PROGRAM

The institution is required to provide a “match” of at least 15 percent of the cost of new programs or a minimum “match” of 25 percent of the total program budget for continuing programs. A new program is defined as a program operated by an institution which is participating in PHEAA’s Act 101 Program for the first time during 2019-20. The total program budget includes institutional, non-institutional, and Act 101 allocated funds.

## III. STUDENT PARTICIPATION

Act 101 is designed to serve economically and educationally disadvantaged undergraduate students attending Pennsylvania’s institutions of higher education. While the demographic characteristics of these students may vary by institution due to such factors as admissions criteria, selectivity, and mission, Act 101 must serve those Pennsylvania students who, in the absence of Act 101 services, would be least likely to achieve academic success.

Since eligible students may not independently elect to participate in the Act 101 Program, it is the responsibility of the institution to be proactive in seeking out and encouraging eligible students to participate in the Act 101 Program.

**Note:** PHEAA recommends that Chafee Educational Training Grant recipients be considered for Act 101 participation (if they qualify); consult with your institutional financial aid office.

### A. STUDENT ELIGIBILITY

The student must meet the following specific criteria each academic year in order to participate in the Act 101 Program:

## i. PENNSYLVANIA RESIDENCY

All Act 101 students must be residents of Pennsylvania. Institutions of higher education must verify the Pennsylvania residency of each participating Act 101 student every year. Institutions can verify residency based on:

- PA State Grant eligibility (since Pennsylvania residency is a requirement for PA State Grant eligibility)
- FAFSA residency status

## ii. ECONOMIC DISADVANTAGE

The institution of higher education must verify that each participating Act 101 student meets the definition of “economically disadvantaged” as follows:

- Have an annual 2017 family income equal to or less than 200 percent of the family income level used for determining poverty status and published by the United States Department of Health and Human Services. The annual family income poverty level is noted in the Federal Poverty Guidelines, published annually in the Pennsylvania Bulletin, and the link to this information is available on PHEAA’s website at [PHEAA.org/Act101](http://PHEAA.org/Act101).
- The Act 101 institution must use the most recent FAFSA income when determining a student’s economic eligibility for the Act 101 Program. The most recent Adjusted Gross Income (AGI) plus untaxed income of the parents and student (and spouse, if married), or of the student, if independent, must be used. Family size for this purpose is the household size as defined and reported on the FAFSA.
- To better assist you with identifying students who meet the income requirement for Act 101 eligibility, PHEAA has made available two reports in your institution’s PageCenter mailbox: 1) ACT 101 2019-20 ALL STUDENTS and 2) ACT 101 2019-20 FAMILY/SALARY. These reports are updated on a weekly basis. PageCenter is a secure mailbox for the use of financial aid administrators only. Therefore, you may have to contact your financial aid office to obtain these reports. The Act 101 reports are stored in a folder titled NEED ANALYSIS AND DATALINK.
  - The ACT 101 2019-20 ALL STUDENTS report identifies all students who have submitted the FAFSA on or after October 1, 2018 and have indicated your institution as their school of attendance. This report indicates each student’s family income, family size, dependency status, and number of years in college.
  - The ACT 101 2019-20 FAMILY/SALARY report narrows the list of all students to only those who meet the economically disadvantaged criteria as noted above. (Family size and income are noted at the top of each page of the report. For example, the first page of the report will state “ONE FAMILY MEMBER(S) AND INCOME DOES NOT EXCEED \$24,120” and will list all students who have a family size of one with an income that is equal to or less than \$24,120.)

As a reminder, students entering the Act 101 Program must also be a Pennsylvania resident and be predicted to have a postsecondary grade point average (GPA) of 2.0 or less on a 4.0 scale. Institutions may also use other factors in determining a student’s educational disadvantage as indicated in the following section of these guidelines.

Documentation of the economic eligibility must be available in the student’s file.

## iii. EDUCATIONAL DISADVANTAGE

The institution of higher education must verify that each participating Act 101 student meets the definition of “educationally disadvantaged” as follows:

- The student must be judged as unlikely to succeed educationally at the institution. In other words, the student must be predicted to have a postsecondary GPA of 2.0 or less (scale A = 4.0) if Act 101 services are not provided. If the institution has not developed a formula for predicting a student’s postsecondary GPA, the institution may base the criteria on the following:

- ESL designation
  - GED recipient
  - A high school GPA that is within the lowest high school GPA quartile for the general student population at the institution
  - An SAT or ACT score that is within the lowest SAT or ACT score quartile for the general student population at the institution
  - Absence from the educational pipeline for three or more years
  - Placement test results your institution may use that qualify the student for remediation
  - The postsecondary GPA predication (2.0 or less on a scale A = 4.0) may also be based upon the criteria and formula regularly used by the institution to select students for admissions.
- Documentation of the academic eligibility must be available in the student's file.

#### **iv. ENROLLMENT STATUS**

An Act 101 student must be enrolled in a program leading to an undergraduate credential (diploma, certificate or degree). A participating Act 101 institution must serve eligible full-time and part-time students. Full-time enrollment is defined as at least 12 credits per semester or the equivalent. Part-time students must be enrolled for the equivalent of at least six semester credits or the equivalent per semester, but less than full-time.

### **B. CONDITIONS OF ADMISSION TO THE ACT 101 PROGRAM**

- Conditional admission to the institution may only occur during an academic bridge program (also see Section IV.B.).
- Once an academic year starts, those students designated Act 101 must be unconditionally admitted to the institution.
- Once a student is admitted to the Act 101 program, the student must remain on the Act 101 Annual Report student roster except in the case of the completion of an academic credential, transfer to another institution, a change in student eligibility (excluding changes to economic or educational eligibility), or non-attendance for a period of one year.
- Students already receiving services funded through another grant program (e.g., Trio, Perkins or other grants that offer similar program services) cannot be included in the Act 101 Program unless the institution can provide evidence of clear differences of program services that justify a student's participation in multiple grant programs.

### **C. STUDENT TRANSFER**

When admitting an Act 101 student as a transfer student, the institution must verify and document the student's academic and economic eligibility. Documentation must be available for possible review in the student's Act 101 file.

### **D. INACTIVE STUDENTS**

Inactive students are students who have left the institution before the current program year began or have refused all services for the program year and cannot be counted toward program size for funding purposes. New students may be recruited to fill any vacancy created by an inactive student. Students who have not attended the institution for one year must be re-qualified for the program if they return to college.

## **E. STUDENTS ADMITTED AFTER FALL TERM**

Students may be admitted into the Act 101 program during terms other than the fall term. These students are to be provided services in the same manner as students who were admitted into the program during the fall term. The students must be reported on the Act 101 Annual Report student roster but are not considered members of the fall cohorts. For example, a student admitted into the Act 101 program during the Spring 2020 term is reported in the 2019-20 Act 101 Annual Report student roster but is not a member of the Fall 2019 Cohort.

## **IV. PROGRAM ADMINISTRATION**

An Act 101 Program should be designed to provide an array of services to students that will increase their ability to succeed in college. Typically, these services include counseling (including mentoring and advising), tutoring, and instruction. Institutions are given the latitude to develop programs that will best serve their unique student populations. However, Act 101 services are expected to contribute to the ability of students to achieve necessary student success outcomes.

### **A. STUDENT ORIENTATION**

Each institution is required to provide an Act 101-specific orientation to new students who are admitted to the program to inform them of the nature of the Act 101 Program services that are available to them and to inform them of all the sources of financial aid available to them.

The mode of orientation (individual, small or large group) may be determined at the discretion of Act 101 Program staff.

A record of each orientation and a list of attendees shall be available in the institution's Act 101 records.

### **B. ACADEMIC BRIDGE PROGRAM**

The Academic Bridge Program is a type of Act 101 Program primarily funded by Act 101 or institutional funds which prepares incoming first-year college students for the rigor and demands of college life in order to retain them as continuing students and facilitate their academic success.

Typically, an academic bridge program provides developmental support in such subjects as writing, mathematics, and reading. Workshops focused on college success, such as study skills, time management, individual learning style, study strategies, computer skills, and expectations for college work, may be provided to further prepare the student for the transition to college life. Counseling and tutoring are available to the students participating in an academic bridge program. This intensive program may also include college coursework for which the student earns academic credit toward graduation.

The Academic Bridge Program is offered prior to the first semester of a student's enrollment at the institution and may be a residential or commuter program. It may be an Act 101-specific program or an institution-wide program.

### **C. STAFFING**

#### **i. ACT 101 DIRECTOR**

Each approved Act 101 Program will be overseen by a director who:

- Directly oversees and supervises all aspects of the Act 101 Program at the approved campus and devotes a minimum of 25 percent of his/her time to the Act 101 Program
- Submits all required reports and other documents to PHEAA on, or prior to, the published due dates

- Participates in PHEAA meetings, workshops and sponsored events
- Informs PHEAA of any significant changes to the Act 101 Program relevant to the institution's program goals or allocation
- Ensures that the approved Act 101 Program complies with the statute, regulations, standards, guidelines and policies
- Shares best practices with PHEAA and Act 101 Programs at other institutions as opportunities arise and as requested by PHEAA
- Provides full cooperation with any audit or evaluation conducted by PHEAA

If two or more campuses share the same program director, the director shall be responsible for carrying out his/her duties to the same extent as if each campus had its own program director.

## ii. OTHER PROGRAM STAFF

The selection, development, and retention of highly-qualified Act 101 service staff is of major importance to the quality of the Act 101 Programs. It is expected that personnel working in the Act 101 Program will possess the same experience and education required of similar positions in the institution. Institutions should configure their staffing in ways that support the core purposes of the Act 101 Program.

## D. ADVISORY BOARD

Each Act 101 Program must have an Advisory Board. The program may be guided, but not directed, by the Advisory Board. The Advisory Board:

- Must represent the administration, faculty, student body and community
- Shall include community members (e.g., parents, agency representatives, neighborhood residents). Its total size shall be determined by the program director and eligible institution. A list of current Advisory Board members must be available for inspection.
- Must have members who are available to participate regularly in its meetings and activities
- Must be given the services of program staff persons and be empowered to create subcommittees as needed
- Should offer advice in the areas of program development, recruitment, retention, funding and support services affecting Act 101 students
- Must have an orientation program to assure clarity of roles and comprehension of the philosophy of the program
- Will meet at least annually. Minutes of the Advisory Board meetings shall be available for review upon request

## E. PROGRAM SIZE

The minimum size of an institution's Act 101 Program is 40 students; the maximum size is 300. PHEAA reserves the right to adjust minimum or maximum program size in order to ensure adequate funding. For programs serving more than 300 students, additional funds will not be provided.

Any program that is unable to serve the minimum number of students should notify PHEAA immediately in writing to [act101@pheaa.org](mailto:act101@pheaa.org). Justification for serving less than 40 students should be included, as well as the institution's plan to increase enrollment in the Act 101 Program. Under special circumstances, PHEAA will allow institutions to serve less than 40 students. PHEAA may request to review the the institution's plan to increase enrollment in the Act 101 Program. Programs that continue to serve less than the minimum number of students without adequate justification may lose approval for participation in the program.

In the event that an institution's Act 101 Program serves less than the minimum students served allowed by the program, the institution will be placed on probation for the upcoming program year. If the institution is unable to successfully complete the probationary program year serving at least the minimum students served required by the program, the school will not be eligible to participate in Act 101 in the subsequent year. At such a time, if the institution wishes to participate after losing eligibility, the institution will be required to apply as a new institution.

## **F. PROGRAM FUNDING**

The approval period will be one year in duration, renewable annually on the basis of continued funding and program compliance. PHEAA funds are intended to be used for the program year beginning with the Summer Term (if the institution operates an academic bridge program or 12-month program) and including the subsequent academic year. Funding for renewal institutions will be based on program size, defined as the number of students served. PHEAA may make funds available for up to five new institutions approved to participate. First-year funding for new programs will be based on up to 10 percent of the current program appropriation or service to 40 eligible students.

## **G. PROGRAM REVIEW**

As part of the Program Review Process of State Grant and Special Programs the Agency may conduct further examinations of student aid and related records as it deems necessary to protect the financial interests of the Agency or its student aid recipients (§ 121.59b). A list of common administrative issues that could be cited in a Program Review could be found in Chapter 5 of the *2019-20 PA State Grant Program Handbook*, which is available on [PHEAA.org](http://PHEAA.org).

## **H. RECORD-KEEPING**

Each institution that participates in the Act 101 Program must create and maintain records as set forth in these Act 101 Program Guidelines for five academic years. Each Act 101 Program shall maintain such financial, accounting, and student records that will lend themselves to satisfactory review by PHEAA. Records that reflect noncompliance with the program guidelines and/or incomplete or missing records may result in penalties assessed against the institution, such as return of funds and administrative sanctions.

## **I. AWARDING & DISBURSEMENT OF FUNDS**

At the beginning of the fall semester, 70 percent of the estimated share of funds due to the institution will be disbursed to the participating institution providing that all required documentation for the current year as well as the past year's annual report is submitted to PHEAA. The institution's initial share of available funds will be based on the proportion of the number of students it serves (as reported on its application) to students served at all participating institutions for the program year. All funds are disbursed through electronic fund transfer (EFT) and institutions should ensure that they have submitted the proper bank account information to PHEAA.

Calculation of the final payment will be based on the final per capita (or per student) amount that will be determined after all schools have reported the total number of students served due March 1, 2020. This final payment will represent the difference between the total amount the institution is eligible to receive (the final per capita amount multiplied by the number of students you report) and the initial payment the institution received in the Fall of 2019.

Disbursement of the final payment, if applicable, is contingent upon receipt of the Annual Report by PHEAA, which is due by June 1, 2020 for 2019-20. Submission of an institution's Annual Report after this deadline will delay the final payment of funds, as well as funds for future program years. Continued noncompliance may result in administrative sanctions or loss of participation.

PHEAA reserves the right to hold disbursement to an institution that is closing or is on a restriction or oversight by the United States Department of Education for participation in any Title IV program. We also reserve the right to hold any disbursements to an institution if the accrediting agency suspends or cancels approval for the school. Any questions regarding holding disbursement should be directed to PHEAA via email at [Act101@pheaa.org](mailto:Act101@pheaa.org). PHEAA will exercise this right to determine the eligibility of the institution to receive further funding.

Any 2019-20 funds provided by PHEAA which are not expended by June 30, 2020 must be returned to PHEAA no later than September 30, 2020, unless otherwise authorized by PHEAA in writing.

## J. REFUNDS

If it is determined that the initial disbursement exceeded the final allocation amount for the program, a refund to PHEAA will be required. If at any time it is determined that the reported number of students served is greater than the actual number of students documented as eligible, served and active for the 2019-20 Program Year, the institution shall refund to PHEAA all funds that were overpaid. PHEAA will notify, in writing, any program that owes a refund. It is requested that all refunds be submitted within 30 days of receiving notice. All future Act 101 disbursements will be held until the refund is received. Refund checks should be identified as "Act 101 Program" and addressed to:

PHEAA  
P.O. Box 64849  
Baltimore, MD 21264-4849

Contact PHEAA's Financial Management division at 717-720-2168 for questions regarding ACH or wire transfers of refunds.

In addition, please send an email identifying the refund as "Act 101 Program" to [finmtgrecon@pheaa.org](mailto:finmtgrecon@pheaa.org).

Please note that if your banking institution requires an address for ACH or wire transfers you should use the following:

1 M & T Plaza  
345 Main Street  
Buffalo, NY 14203

## K. USE OF ACT 101 PROGRAM FUNDS

Program funds provided by PHEAA are to be used for program expenses for the program year, beginning with the summer term (if the school operates an academic bridge program or a 12-month program) and including the subsequent academic year. Program expenses will be accounted for in the Budget Information section of the Annual Report (see Section IV.L.3.b).

### i. ELIGIBLE EXPENSES

Examples of eligible expenses include but are not limited to the following:

- Act 101 staff salaries and benefits
- Business travel expenses (limited to the program director or designee)
- Office supplies and equipment (use limited to the Act 101 Program)
- Advisory Board orientation meeting
- Act 101 conference and workshop fees (limited to the program director or designee and to no more than five percent of the funds from PHEAA)
- Educational materials
- Act 101 lending library

- Act 101 student events
- Student educational travel (this is limited to in-state; educational travel is determined by the school)
- Facilities and administrative costs (limited to no more than five percent of the funds from PHEAA)
- Developmental education workshops and conferences for Act 101 Program staff and counselors (limited to no more than five percent of the funds from PHEAA)

## ii. INELIGIBLE EXPENSES

Act 101 funds cannot be used for:

- Financial aid to Act 101 students which covers normal educational expenses (tuition, fees, room, board, books, transportation, and supplies)
- The construction, maintenance or operation of facilities primarily used for worship or any other sectarian or denominational activity

## L. USE OF INSTITUTIONAL MATCHING FUNDS

An institution may use its matching funds (15 percent for new programs or 25 percent of total program budget for continuing programs) as is appropriate to meet program needs and requirements as outlined in these Guidelines; however, it will be required to provide the actual dollar amount in the Budget Information section of the Annual Report (see Section IV. L.3.b). Aside from ineligible expenses as defined above, there are no specific restrictions.

## M. INSTITUTIONAL REPORTING

### i. STUDENT-LEVEL DATA

Institutions receiving Act 101 funds must create and maintain records that allow for the longitudinal tracking of Act 101 students. Although a comprehensive student-level data set will no longer be required to be submitted, the institution must still track the progress of individual students and to synthesize aggregate data for purposes of the Annual Report.

Upon designation as an Act 101 student, each student must be tracked in school records until s/he completes a credential during the last semester of enrollment, completes a credential but continues enrollment as an undergraduate student, or fails to enroll or refuses Act 101 services and becomes inactive:

- Student-level data will be maintained on campus with aggregate level data reported annually to PHEAA. This data will be used by PHEAA to conduct comprehensive analyses of enrollment, retention, transfer and graduation patterns both within individual institutions, and across all Act 101 institutions.

### ii. STUDENTS SERVED CERTIFICATION

Schools must provide the total number of students served in the current year program by March 1, 2020. This total number reported by all participating institutions will be used to determine the final per capita (or per student) amount, which will then be multiplied by the number of students you report to determine the total dollar amount your institution is eligible to receive for the program year. If the number of students served decreases at any time for the academic year, the final allocation will also be decreased. Reports not submitted by the deadline will delay the recalculation of the final per capita and allocations for all participating institutions. PHEAA may subject those schools not meeting the deadline to administrative action.

### **iii. ANNUAL REPORT**

All Act 101 Programs must submit an Annual Report to PHEAA by June 1 following each year. As part of the Annual Report submission, Act 101 Programs must submit a Program Summary, a completed Excel reporting template, and a Certification Statement. The Excel reporting template and template completion instructions are available on PHEAA's website at [PHEAA.org/Act101](https://www.pheaa.org/Act101).

#### **a. PROGRAM SUMMARY**

The summary should be a description of the program for the 2019-20 year. It should include the number of full-time and part-time students served, program length, a list of the primary service elements for the program, and a summary of the Advisory Board activities and accomplishments.

#### **b. EXCEL REPORTING TEMPLATE**

The reporting template includes the following sections: General Information, Academic Performance, Retention, Credential Completion, Financial Aid, Budget and Finances, and Student Roster. See the Act 101 Program Annual Report Guidelines for more detailed information.

#### **c. CERTIFICATION STATEMENT**

A statement attesting that all program requirements, set forth in program regulations and Guidelines were met, must be signed by a duly authorized representative and signatory of the institution.

Created in 1963 by the Pennsylvania General Assembly, the Pennsylvania Higher Education Assistance Agency (PHEAA) has evolved into one of the nation's leading student aid organizations. Today, PHEAA is a national provider of student financial aid services, serving millions of students and thousands of schools through its loan guaranty, loan servicing, grant administration, outreach, and other student aid programs.

PHEAA's earnings are used to support its public service mission and to pay its operating costs, including the administration of the Pennsylvania State Grant and other state-funded student aid programs. PHEAA continues to devote its energy, resources and imagination to developing innovative ways to ease the financial burden of higher education for students, families, schools, and taxpayers.

PHEAA conducts its student loan servicing activities nationally as FedLoan Servicing and American Education Services (AES). For more information, visit [PHEAA.org](http://PHEAA.org).

## BOARD OF DIRECTORS

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